

## **PS 9490: International Organization**

Thursday, 3:00 p.m. - 5:30 p.m.

Middlebush Hall 132

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Office Hours: Tuesday and Thursday 11am-12pm

This graduate seminar focuses on research in the area of international cooperation and international institutions. There is an increasing number of international institutions in this world and there are only few areas in world politics that are not regulated in some way, formally or informally, by an international organization (IO). Why do states establish institutions? What determines their design and evolution? Do these institutions obtain some measure of authority, if at all, in international affairs? Or do IOs only reflect underlying power and interests? What is their influence? These are some of the questions that we will address in class. It is an advanced, discussion-based research seminar that covers theories of international institutions, critical perspectives, and applications in security, economic, and environmental policy areas.

### **Course Requirements:**

- **15%** Participation. Students are expected to complete each week's readings before class and to answer questions on each reading in terms of the research question(s), cause, effect, theoretical argument, empirical design, key findings, how the reading fits into the literature and contributes to the subfield's scholarship, and important weaknesses left for future research. Each student is evaluated based on the amount and quality of participation. In addition, students are expected to submit three to four questions about the materials by 6pm on Wednesdays.
- **25%** Seminar Leader. One or two students will be assigned to lead each week's seminar. Seminar leaders will need to discuss the major research questions raised by the readings, the major theses of the authors, and their findings. The works of individual authors should be evaluated with respect to logic and cogency of argument, method, and evidence. The discussant is also responsible for providing an overview of the literature covered that week, raising topics for further discussion, and, if relevant, relating that week's readings to those of earlier weeks. Finally, seminar leaders are expected to upload discussion questions pertinent to the readings by noon on the day before class.
- **30%** Five research design memos. Each student is to complete five short research design memos. For the research design memo, a student may choose to cover one or several readings from a week. Regardless of this choice, the student should clearly identify the research question(s), the cause, the effect, theoretical argument, empirical design, and findings, critique the reading(s), and provide a plausible argument for improvement. I will provide further guidance on how to write these research memos during the first class on Jan 23. Each short paper is about 2-3 single spaced pages and due by noon on the day before class. Please upload the papers onto the course blackboard site and bring a hard copy to class. I expect everyone to read one another's memos.

- **30% Research project.** Each student is to complete a *partial* research paper for the course. The paper must clearly identify the research question, explain the theory and hypotheses, provide a critical review of related literature, discuss the operationalization of the hypotheses, and describe the data collection and the methods used to test the research question (specific statistical, qualitative, or formal models). The project does not require actual data analysis. The components of the paper include the following (including individual submission deadlines):
  - You will need to submit a research proposal by March 13. This proposal can be in outline format but needs to include your research question, a list of your hypotheses and the theoretical logic behind each, a list of the variables that you plan to use to test the hypotheses, a brief description of the data you want to collect and ideas on methods you think you will use.
  - You will turn in a first draft of your research paper on April 16.
  - The second and final draft of the paper will be due by the last day of class, Thursday, May 8.

**Special academic needs** If you anticipate barriers related to the format or requirements of this course, if you have emergency medical information to share with me, or if you need to make arrangements in case the building must be evacuated, please let me know as soon as possible. If disability related accommodations are necessary (for example, a note taker, extended time on exams, captioning), please register with the Office of Disability Services (<http://disabilityservices.missouri.edu>), S5 Memorial Union, 573- 882-4696, and then notify me of your eligibility for reasonable accommodations. For other MU resources for students with disabilities, click on “Disability Resources” on the MU homepage.

**Academic integrity** Academic integrity is held in the highest regard at the University of Missouri. Academic integrity is expected and required. Enrollment constitutes acknowledgement by the student of her/his awareness of all definitions, rules, and procedures relating to academic integrity. Any Academic integrity violations will be dealt with swiftly and severely! If you ever have any questions concerning the University’s Academic Integrity policy, please consult your instructor immediately.

**Class materials:** All readings on the syllabus are required readings. Required monographs and edited volumes are available through the campus bookstore and through Amazon, where you can find some used copies. Most readings are on reserve at Ellis Library, except for web articles -which can be downloaded from the original source- and Journal articles -which are available through Missouri’s Libraries Online Catalog.

**Class Schedule:** NOTE: The instructor reserves the right to alter the class and/or reading schedule at any time. Changes to reading assignments, deadlines, or test dates will be announced in a timely manner.

Week 1 (Jan 23) - Introduction

Week 2 (Jan 30) - Anarchy and the Problem of Cooperation in International Relations  
 Powell, Robert (1994). “Review: Anarchy in International Relations Theory: The Neorealist-Neoliberalist Debate.” *International Organization* 48(2): 313-44.  
 Grieco, Joseph, Robert Powell, and Duncan Snidal (1993). “The Relative Gains Problem for International Cooperation.” *American Political Science Review* 87(3): 727-43.

- Wendt, Alexander E. (1992). "Anarchy Is What States Make Of It: The Social Construction of Power Politics." *International Organization* 46(2): 391-425.
- Jervis, Robert (1978). "Cooperation under the Security Dilemma." *World Politics* 30(2): 167-214.
- Axelrod, Robert, and Robert O. Keohane (1985). "Achieving Cooperation under Anarchy: Strategies and Institutions." *World Politics* 38(1): 226-54.
- Lake, David A. (2007). "Escape from the State of Nature: Authority and Hierarchy in World Politics." *International Security* 32(1): 47-79.
- Hurd, Ian (1999). "Legitimacy and Authority in International Politics." *International Organization* 53(2): 379-408.

#### Week 3 (Feb 6) -The Role of Institutions in Cooperation

- Keohane, Robert. 1984. *After Hegemony: Cooperation and Discord in the World Political Economy*. Princeton. Princeton University Press. Chap. 1-3, 7.
- Gilligan, Michael J. (2009). "The Transaction Costs Approach to International Institutions". In *Power, Interdependence, and Nonstate Actors in World Politics*. Helen V. Milner and Andrew Moravcsik, eds. Princeton. Princeton University Press: 50-65.
- Ikenberry, G. John (2001). *After Victory: Institutions, Strategic Restraint, and the Rebuilding of Order after Major Wars*. Princeton. Princeton University Press. Chaps. 1 and 3 (pp. 3-20, 50-79).
- Thompson, Alexander (2006). "Coercion Through IOs: The Security Council and the Logic of Information Transmission." *International Organization* 60(1): 1-34.

#### Week 4 (Feb 13) -Theories of Institutional Design and Legalization

- Martin, Lisa. 1992. "Interests, Power, and Multilateralism," *International Organization* 46(4): 765-792.
- Koremenos, Barbara, Charles Lipson, and Duncan Snidal. 2001. "The Rational Design of International Institutions," *International Organization* 55(4): 761-99.
- Lipson, Charles. "Why Are Some Agreements Informal?" *International Organization* 45(4): 495-538.
- Abbott, Kenneth, Robert Keohane, Andrew Moravcsik, Anne-Marie Slaughter, and Duncan Snidal. 2000. "The Concept of Legalization." *International Organization* 54(3): 401-19.
- Simmons, Beth A. 2000. "The Legalization of International Monetary Affairs." *International Organization* 54(3): 573-602.
- Vogel, David. 2008. "Private Global Business Regulation." *Annual Review of Political Science* 11: 261-282.

#### Week 5 (Feb 20) - Theories of Compliance

- Chayes, Abram and Antonia Handler Chayes. 1993. "On Compliance." *International Organization* 47(2).
- Downs, George, David Rocke, and Peter Barsoom, 1996. "Is the Good News about Compliance Good News about Cooperation?" *International Organization* 50(3): 379-406.
- Fearon, James. 1998. "Bargaining, Enforcement, and International Cooperation," *International Organization* 52(2): 269-305.
- Dai, Xinyuan. 2002, "Information Systems in Treaty Regimes," *World Politics* 54: 405-436.
- Keohane, Robert, Andrew Moravcsik, and Anne-Marie Slaughter. 2000. "Legalized Dispute Resolution: Interstate and Transnational." *International Organization* 54(3): 457-488.
- Simmons, Beth. 1998. "Compliance with International Agreements," *Annual Review of Political Science*, 1: 75-93.

Week 6 (Feb 27) -Delegation and International Cooperation

Hawkins, Darren, David Lake, Daniel Nielson, and Michael Tierney, Eds. (2006). Delegation and Agency in International Organizations. New York. Cambridge University Press. Chaps. 1, 2, 4, 6, 11, 12.

Week 7 (March 6) No Class

Week 7 (March 13) Diffusion, Convergence and Contagion as Cooperation?

Simmons, Beth, and Zachary Elkins. 2004. "The Globalization of Liberalization: Policy Diffusion in the International Political Economy." *American Political Science Review* 98(1): 171-89.

Simmons, Beth A., Frank Dobbin, and Geoffrey Garrett 2006. "Introduction: The International Diffusion of Liberalism." *International Organization* 60(4): 781-810.

Bearce, David H., and Stacy Bondanella. 2007. "Intergovernmental Organizations, Socialization, and Member-State Interest Convergence." *International Organization* 61(4): 703-33.

Holzinger, Katharina, Christoph Knill, and Thomas Sommerer. 2008. "Environmental Policy Convergence: The Impact of International Harmonization, Transnational Communication, and Regulatory Competition." *International Organization* 62(4): 553-87.

Swank, Duane. 2006. "Tax Policy in an Era of Internationalization: Explaining the Spread of Neoliberalism." *International Organization* 60(4): 847-82.

Lee, Chang Kil, and David Strang. 2006. "The International Diffusion of Public-Sector Downsizing: Network Emulation and Theory-Driven Learning." *International Organization* 60(4): 883-909.

Week 8 (March 20) -The Nexus Between Domestic and International Institutions

Allee, Tod and Paul Huth. 2006. "Legitimizing Dispute Settlement: International Legal Rulings and Domestic Political Cover." *American Political Science Review* 100(2): 219-234.

Pevehouse, Jon. 2002. "Democracy from the Outside-In? International Organizations and Democratization." *International Organization* 56(3): 515-549.

Mansfield, Edward and Jon Pevehouse. 2006. "Democratization and International Organizations." *International Organization* 60 (1): 137-167.

Kelley, Judith. 2007. "Who Keeps International Commitments and Why? The International Criminal Court and Bilateral Non-Surrender Agreements." *American Political Science Review* 101(3): 573-589.

Dai, Xinyuan. 2009. "The Power of Weak International Institutions" In *International Institutions and National Policies*. Cambridge University Press: 100-151 (Chapter 5).

March 27 -Spring Break

April 3 -No Class

Week 9 (April 10) -Influence of International Organizations

Goldstein, Judith, Douglas Rivers, and Michael Tomz. 2007. "Institutions in International Relations: Understanding the Effects of the GATT and the WTO on World Trade. *International Organization*, 61(1): 3767.

Stone, Randall. 2011. *Controlling Institutions: International Organizations and the Global Economy*, Cambridge University Press, entire.

Week 10 (April 17) Regional Integration

- Moravcsik, Andrew. 1991. "Negotiating the Single European Act: National Interests and Conventional Statecraft in the European Community," *International Organization* 45(1): 9-56.
- Frieden, Jeffrey. 1996. "The Impact of Goods and Capital Market Integration on European Monetary Politics," *Comparative Political Studies* 29(2): 193-222.
- McNamara, Kathleen. 1999. "Consensus and Constraint: Ideas and Capital Mobility in European Monetary Integration," *Journal of Common Market Studies* 37.
- Alter, Karen. 1998. "Who are the 'Masters of the Treaty'? European Governments and the European Court of Justice." *International Organization* 52(1):121-147.
- Kahler, Miles. 2000. "Legalization as Strategy: The Asia-Pacific Case." *International Organization*, 54(3): 549-571.
- Donno, Daniela. 2010. "Who Is Punished? Regional Intergovernmental Organizations and the Enforcement of Democratic Norms." *International Organization* 64: 593-625

Week 11 (April 24) Environment and Security Institutions

- Nielson, Daniel and Michael Tierney. 2003. "Delegation to International Organizations: Agency Theory and World Bank Environmental Reform." *International Organization* 57(2): 241-276.
- Zeng, Ka, and Josh Eastin. 2007. "International Economic Integration and Environmental Protection: The Case of China." *International Studies Quarterly* 51(4): 971-95.
- Thompson, Alexander 2006. "Management Under Anarchy: The International Politics of Climate Change." *Climatic Change* 78(1): 7-29.
- Breitmeier, Ardil Underdal, Oran Young. 2011. "The Effectiveness of International Environmental Regimes" In *International Studies Review* 13(4): 579-605.
- DeSombre, Elizabeth R. *Domestic Sources of International Environmental Policy: Industry Environmentalists, and U.S. Power.* Cambridge, MA: The MIT Press, 2000. 1-17, 171-191.
- Wallander, Celeste and Robert Keohane. "Risk, Threat, and Security Institutions." In *Imperfect Unions: Security Institutions over Time and Space.* Oxford University Press, 21-47.
- Gelpi, Christopher. "Alliances as Instruments of Intra-Allied Control." In *Imperfect Unions*, 107-139.
- Hemmer, Christopher and Peter Katzenstein. 2002. "Why is There No NATO in Asia? Collective Identity, Regionalism, and the Origins of Multilateralism." *International Organization* 56(3): 575-607.
- Fortna, Page. 2004. "Interstate Peacekeeping: Causal Mechanisms and Empirical Effects," *World Politics* 56(4): 481-519.

Week 12 (May 1) Recent Developments in IO

Selection of papers from recent conferences (TBD)

Week 14 (May 8) Final Papers Due